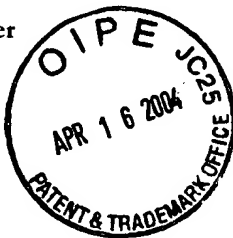


Image

REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL UNDER 37 C.F.R. §1.114

Rce / \$
1746

DOCKET NUMBER: FSU-0004
Prior Appln Serial No.: 09/909,992
Filed: July 23, 2001
Inventor(s): Susan D. ALLEN
Confirmation No.: 1377
Group Art Unit: 1746
Examiner: G. Winter



U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop RCE
Crystal Plaza Two, Lobby, Room 1B03
Arlington, Virginia 22202

Sir:

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. §1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on March 15, 2004
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other: _____
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other: Supplement to Information Disclosure Statement (IDS)

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of _____ months. Fee amount \$130.00 under 37 C.F.R. §1.17(i) enclosed. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).

- b. ☐ Other: _____

3. Fees

- ☒ RCE fee required under 37 C.F.R. §1.17(e); **Small Entity \$385.00**, other than small entity \$770.00. The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

- ☒ Extension of time fee (37 C.F.R. §§1.136 and 1.17)

Payment by:

- a. ☒ Check in the amount of \$440.00 (Check No. 11725) enclosed.
- b. ☐ Please charge my Deposit Account No. 16-0607 in the amount of \$_____. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.

04/19/2004 JBALINAN 00000090 09909992

01 FC:2801

385.00 OP

04/19/2004 JBALINAN 00000090 09909992

02 FC:2251

55.00 OP

Respectfully submitted,
FLESHNER & K/M, LLP

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Date: APRIL 16, 2004

Docket No.: FSU-0004



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Susan Davis ALLEN

Serial No. 09/909,992

Confirm. No.: 1377

Filed: July 23, 2001

For: METHOD AND APPARATUS FOR REMOVAL OF MINUTE PARTICLES
FROM A SURFACE USING THERMOPHORESIS TO PREVENT
PARTICLE REDEPOSITION

:
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:
:
: Group Art Unit: 1746
:
: Examiner: G. Winter
:
:
:

SUPPLEMENT TO INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

In supplement to the Information Disclosure Statement filed June 26, 2002, please find attached a copy of references W and Y. Reference X remains unavailable at the present time. References DD and OO are textbooks that were cited for background material purposes only, and due to their volume will not be submitted. Applicant's representative will submit them to the Patent Office as soon as they become available.

Respectfully submitted,
FLESHNER & KIM, LLP

A handwritten signature in black ink, appearing to read "Mark L. Fleshner", written over the printed name.

Mark L. Fleshner
Registration No. 34,596
Carol L. Druzbeck
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P. O. Box 221200
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Date: April 16, 2004